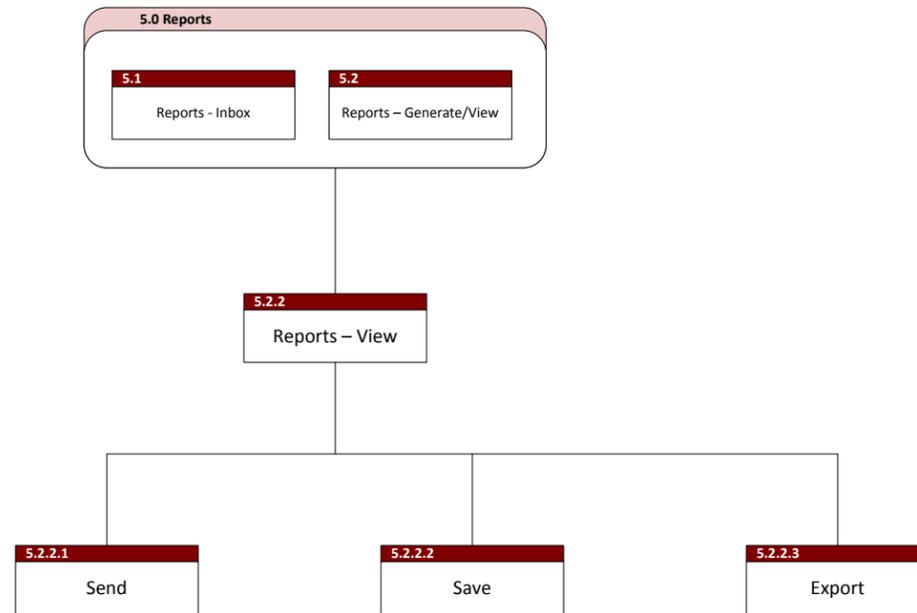




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Confirmation Page



0.0.0.1
Confirmation Page



All information valid as of: September 19, 2011 – 13:01

Assets Under Mgmt	Valuation
Credit Alpha	10000000
Offshore Alpha	9090023
Bluechip Alpha	5439438
Distressed Alpha	3432432
Others	23984234

Top 5 Holdings	Market Value	% Portfolio	% Change
1 PIMCO Total Return	3009000	15	2%
2 DB EMEA Fund	2149824	13	3%
3 Citigroup	2144576	9	2.5%
4 QQQQ	1435435	8	1.25%
5 SPDR FIN INDEX	1434535	7	.22%

Bottom 5 Holdings	Market Value	% Portfolio	% Change
1 SG EUR Index	3009000	3	-2%
2 DB US Treasure Insex	2149824	2	-2%
3 Citi FTSE 100 Index	2144576	1	-1.00%
4 GS Reality Index	1435435	0.5	-0.75%
5 JP NYState Muni Fund	1434535	5.5	-0.50%

Report Search

Portfolio: Lorem Ipsum Dvort Karem

Search

Security – Asset Type	Recieved	Processed	Pending
Equities	100	99	1
Fixed Income	20	20	0
Bank Loans	3	1	1
Swaps	10	9	2
Options	10	9	1
Others ...	25	23	1

Securities waiting for Client Identifiers **1301**

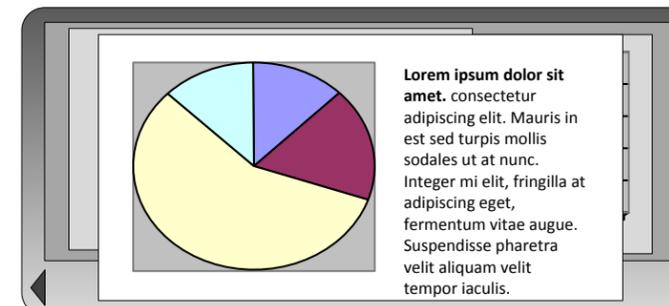
Prices – Asset Type	Recieved	Processed	Pending
Equities	100	99	1
Fixed Income	20	20	0
Bank Loans	3	1	1
Swaps	10	9	2
Options	10	9	1
Others ...	25	23	1

Corporate Actions – Type	Received
Dividends	10
Stock Split	5
Maturity	3
Reorg	2
Others ...	5

Trades	Recieved	Processed	Pending
Confirmation	100	99	1
OTC	20	20	0
Bank Loans	3	1	1
Others ...	10	9	2

Ineligible Trades **13**

Outbound Reports		
CPTY	Processed	Pending
[-] All	1301	123
Lorem Ipsum	1301	123
Dvort Karem	1301	123
Tu Vas Dotter	1301	123

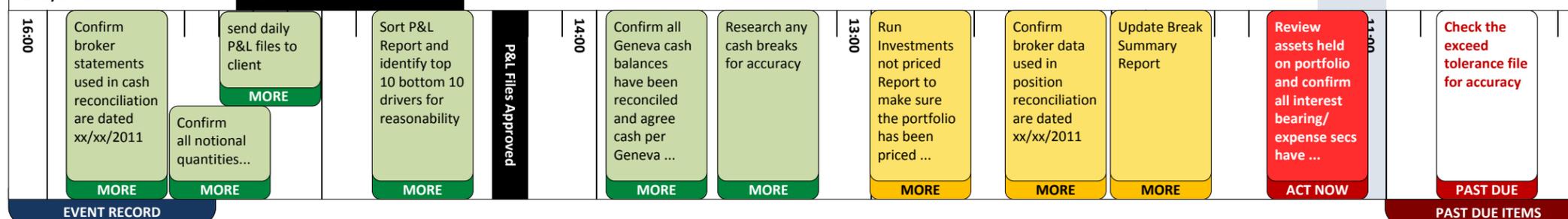


PB Custodian Exposure

Custodian	Long Cash	Short Cash	Net Cash	Book Notional	Short Mkt	Long Mkt	Net Mkt	Gross Mkt	AUM	% AUM
BONY	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	32.99
DBAG	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	23.87
JPMC	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	13.01
MSCO	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	111,101,111.99	34.99
Total	111,101,111.99	100.00								

Daily View

NAV View



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Holdings

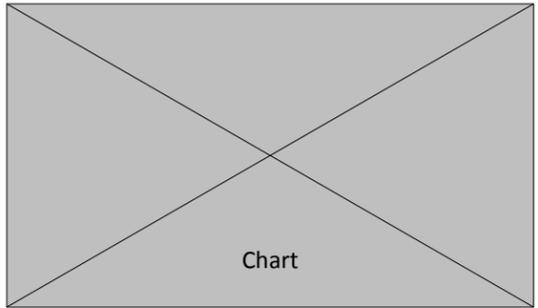
P&L

Portfolio Management | Holdings

Top 5 Holdings Long			
	Market Value	Portfolio %	% Change
1 Lorem Ipsum LLC	10,999	12%	12%
2 Dvort Karem Tu	10,999	12%	12%
3 Eto Va Tuest	10,999	12%	12%
4 Lorem Ipsum LLC	10,999	12%	12%
5 Dvort Karem Tu	10,999	12%	12%

Top 5 Holdings Short			
	Market Value	Portfolio %	% Change
1 Lorem Ipsum LLC	10,999	12%	12%
2 Dvort Karem Tu	10,999	12%	12%
3 Eto Va Tuest	10,999	12%	12%
4 Lorem Ipsum LLC	10,999	12%	12%
5 Dvort Karem Tu	10,999	12%	12%

Country
Currency
CPTY



Chart

Holdings Exposure

Bottom 5 Holdings Long			
	Market Value	Portfolio %	% Change
1 Lorem Ipsum LLC	10,999	12%	12%
2 Dvort Karem Tu	10,999	12%	-12%
3 Eto Va Tuest	10,999	12%	12%
4 Lorem Ipsum LLC	10,999	12%	-12%
5 Dvort Karem Tu	10,999	12%	12%

Bottom 5 Holdings Short			
	Market Value	Portfolio %	% Change
1 Lorem Ipsum LLC	10,999	12%	12%
2 Dvort Karem Tu	10,999	12%	-12%
3 Eto Va Tuest	10,999	12%	12%
4 Lorem Ipsum LLC	10,999	12%	-12%
5 Dvort Karem Tu	10,999	12%	12%

Annotation:



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P&L

Portfolio Management | P&L

YTD P&L		Market Value	P&L	% Change
1	Lorem Ipsum LLC	10,999	999.99	12%
2	Dvort Karem Tu	10,999	999.99	12%
3	Eto Va Tuest	10,999	999.99	12%
4	Lorem Ipsum LLC	10,999	999.99	12%
5	Dvort Karem Tu	10,999	999.99	12%

MTD P&L		Market Value	P&L	% Change
1	Lorem Ipsum LLC	10,999	999.99	12%
2	Dvort Karem Tu	10,999	999.99	12%
3	Eto Va Tuest	10,999	999.99	12%
4	Lorem Ipsum LLC	10,999	999.99	12%
5	Dvort Karem Tu	10,999	999.99	12%

Daily P&L		Market Value	P&L	% Change
1	Lorem Ipsum LLC	10,999	999.99	12%
2	Dvort Karem Tu	10,999	999.99	12%
3	Eto Va Tuest	10,999	999.99	12%
4	Lorem Ipsum LLC	10,999	999.99	12%
5	Dvort Karem Tu	10,999	999.99	12%

Select a date: 12/12/2012 31		Market Value	P&L	% Change
1	Lorem Ipsum LLC	10,999	999.99	12%
2	Dvort Karem Tu	10,999	999.99	12%
3	Eto Va Tuest	10,999	999.99	12%
4	Lorem Ipsum LLC	10,999	999.99	12%
5	Dvort Karem Tu	10,999	999.99	12%

Country
Currency
CPTY

X

P&L Exposure

Chart

Annotation:



Client Summary Dashboard Portfolio Management **Transactions and Trades** Security Management Reference Data Management Reports

Deal Blotter Trade Search OTC Dashboard Confirmation Dashboard Loan Admin

Transactions and Trades

Displaying Results from: 12/12/2012 31 To: 12/12/2012 31 Go

[-] Deal Blotter

Asset Type	Record Type	Total	Processed	Pending	Ignored
Cash	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
Debt	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
Derivatives	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
Repo	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
FX	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

[+] Loan Admin

[+] Corporate Actions

[-] OTC Dashboard

Asset Type	Record Type	Total	Processed	Pending	Ignored
Cash	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
Debt	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

Asset Type	Record Type	Total	Processed	Pending	Ignored
Repo	New	99	99	99	99
	Updated	99	99	99	99
	Cancel	99	99	99	99

[+] Confirmation Dashboard

[+] Owner Equity

[+] Asset Servicing

Annotation:



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Deal Blotter - Inbound Batches

Batch Date:

Show All Pending Trades

Status:

ID	Source	Received	Total Trades	Processed	Pending	Ignored	Status	Notes
9101	MOM	12/12/2011 13:01	1301	56	56	0	🔒	
8999	ALPS_OAK_WSO	12/12/2011 13:01	32	32	0	0	🔒	
9454	CALYPSO_MOM	12/12/2011 13:01	999	101	13	0	🔒	
9001	ALPS_OAK_WSO	12/12/2011 13:01	27	27	8	0	🔒	
9101	CALYPSO_MOM	12/12/2011 13:01	1301	56	56	0	🔒	
9101	MOM	12/12/2011 13:01	1301	32	0	0	🔒	
8999	CALYPSO_MOM	12/12/2011 13:01	32	101	13	0	🔒	
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	27	8	0	🔒	
9001	CALYPSO_MOM	12/12/2011 13:01	27	101	56	0	🔒	
9101	CALYPSO_MOM	12/12/2011 13:01	1301	27	0	0	🔒	
8999	MOM	12/12/2011 13:01	32	56	13	0	🔒	
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	32	8	0	🔒	

Batch Date:

Show All Pending Trades

Status:

Deal Blotter - Outbound Batches

Send	Feed ID	Type	Last Batch Date/Time	Processed	Pending	Marked Stop	Ignored	Total	Pending Since
<input type="checkbox"/>	12439454	MS Equity	11/11/2011 - 13:01	1301	56	56	0	1301	56
<input type="checkbox"/>	1301999	Calypso Trade	11/11/2011 - 13:01	32	32	0	0	32	32
<input type="checkbox"/>	1301999	MS Equity	11/11/2011 - 13:01	999	101	13	0	999	101
<input type="checkbox"/>	12439454	Calypso Trade	11/11/2011 - 13:01	27	27	8	0	27	27
<input type="checkbox"/>	1301999	MS Equity	11/11/2011 - 13:01	1301	56	56	0	1301	56
<input type="checkbox"/>	12439454	Calypso Trade	11/11/2011 - 13:01	1301	32	0	0	1301	32
<input type="checkbox"/>	12439454	MS Equity	11/11/2011 - 13:01	1301	56	56	0	1301	56
<input type="checkbox"/>	1301999	Calypso Trade	11/11/2011 - 13:01	32	32	0	0	32	32
<input type="checkbox"/>	1301999	MS Equity	11/11/2011 - 13:01	999	101	13	0	999	101
<input type="checkbox"/>	12439454	Calypso Trade	11/11/2011 - 13:01	27	27	8	0	27	27
<input type="checkbox"/>	1301999	MS Equity	11/11/2011 - 13:01	1301	56	56	0	1301	56
<input type="checkbox"/>	12439454	Calypso Trade	11/11/2011 - 13:01	1301	32	0	0	1301	32

Annotation:

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Transaction ID

MOM Trade ID

Custodian Account

Portfolio

Trade Date

Settle Date

Settle Date

Transaction Type

Geneva ID

Status ID

Strategy

Trader

Quality

Price

Fx Rate

Accrued Interest

Sent to Geneva:

Status:

Investment

Client Investment ID

Settlement Currency

CPS

Sec Fee

Total Commission

Principal

Record Type

Transaction Type

Ticker

Sedol

BBR Ticker

Comments

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
9101	CALYPSO_MOM	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Processed
8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Pending
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Ignored
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

<< < [1] 2 3 4 5 6 7 > >>

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Transaction ID

MOM Trade ID

Custodian Account

Portfolio

Trade Date

Settle Date

Settle Date

Transaction Type

Geneva ID

Status ID

Strategy

Trader

Quality

Price

Fx Rate

Accrued Interest

Sent to Geneva:

Status:

Investment

Client Investment ID

Settlement Currency

CPS

Sec Fee

Total Commission

Principal

Record Type

Transaction Type

Ticker

Sedol

BBR Ticker

Comments

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Marked to Stop
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Processed
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Pending
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Ignored
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Marked to Stop
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9101	CALYPSO_MOM	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending
8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Ignored
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Marked to Stop
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
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9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Marked to Stop
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Marked to Stop

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Basic Based

Portfolio: Custodian:

Transaction Type: Asset Type:

Strategy: Sent to Geneva:

Investment Based

Investment: Isin:

CUSIP: Sedol:

ID Based

Transaction ID:

Batch ID:

Geneva ID:

OMS ID:

Cash Based

Settle Date: Quantity (<=>):

Cash Settle Date: Price (<=>):

Broker:

Advanced

Batch Type: Error Source:

Firm: Trader:

Error Message: Ignored:

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
9101	CALYPSO_MOM	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Processed
8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Pending
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Ignored
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Ignored

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Transaction ID

MOM Trade ID

Custodian Account

Portfolio

Trade Date

Settle Date

Settle Date

Transaction Type

Geneva ID

Status ID

Strategy

Trader

Quality

Price

Fx Rate

Accrued Interest

Sent to Geneva:

Status:

Investment

Client Investment ID

Settlement Currency

CPS

Sec Fee

Total Commission

Principal

Record Type

Transaction Type

Ticker

Sedol

BBR Ticker

Comments

Clone
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Save
Submit

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
9101	CALYPSO_MOM	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Processed
8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Pending
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Ignored
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

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Displaying Results from: 12/12/2012 31 To: 12/12/2012 31 Go

Batch Date	Client Trans. ID	Asset Type	Record Type	Transaction Type	Global Unique ID	Geneva ID	CALYPSO			OASYS	
							ID	Processor ID	Response	ID	Response
12/21/2012	87654321-26354	CDS	New	Buy	87654321-26354	87654321	CAL-1021	8765-354	ACK	OYS-1021	NACK
12/21/2012	98765431-13019	CDS	Cancel	Sell	12345678-26354	12345678	CAL-1301	1234-5678	NACK	OYS-1301	ACK
12/21/2012	12345678-26354	CDS	Correct	Sell Short	87654321-26354	NOT SENT	Message: -- n/a --				
12/21/2012	87654321-26354	CDS	New	Cover Short	12345678-26354	87654321	Processed by: John Smith				
12/21/2012	98765431-13019	CDS	Cancel	Buy	87654321-26354	12345678	Processed date: 12/21/2012				
12/21/2012	12345678-26354	CDS	Correct	Sell	12345678-26354	NOT SENT	Updated: 12/21/2012				
12/21/2012	87654321-26354	IRSWAP	New	Sell Short	87654321-26354	87654321	Approved by: Sam Johnson				
12/21/2012	98765431-13019	IRSWAP	Cancel	Cover Short	12345678-26354	12345678	Approved Date: 12/21/2012				
12/21/2012	12345678-26354	IRSWAP	Correct	Buy	87654321-26354	NOT SENT	CAL-1021	8765-354	ACK	OYS-1021	NACK
12/21/2012	87654321-26354	IRSWAP	New	Sell	12345678-26354	87654321	CAL-1301	1234-5678	NACK	OYS-1301	ACK
12/21/2012	98765431-13019	IRSWAP	Cancel	Sell Short	87654321-26354	12345678	CAL-1021	8765-354	NACK	OYS-1021	ACK
12/21/2012	12345678-26354	IRSWAP	Correct	Cover Short	12345678-26354	NOT SENT	CAL-1301	1234-5678	ACK	OYS-1301	NACK
12/21/2012	87654321-26354	CDS	New	Buy	87654321-26354	87654321	CAL-1021	8765-354	ACK	OYS-1021	NACK
12/21/2012	98765431-13019	CDS	Cancel	Sell	12345678-26354	12345678	CAL-1301	1234-5678	NACK	OYS-1301	ACK
12/21/2012	12345678-26354	CDS	Correct	Sell Short	87654321-26354	NOT SENT	CAL-1021	8765-354	NACK	OYS-1021	ACK
12/21/2012	87654321-26354	IRSWAP	New	Sell Short	87654321-26354	87654321	CAL-1021	8765-354	NACK	OYS-1021	ACK
12/21/2012	98765431-13019	IRSWAP	Cancel	Cover Short	12345678-26354	12345678	CAL-1301	1234-5678	ACK	OYS-1301	NACK
12/21/2012	12345678-26354	IRSWAP	Correct	Buy	87654321-26354	NOT SENT	CAL-1021	8765-354	ACK	OYS-1021	NACK
12/21/2012	87654321-26354	IRSWAP	New	Sell	12345678-26354	87654321	CAL-1301	1234-5678	NACK	Message: Success	
12/21/2012	98765431-13019	IRSWAP	Cancel	Sell Short	87654321-26354	12345678	CAL-1021	8765-354	NACK	Ignored: <input checked="" type="checkbox"/>	
12/21/2012	12345678-26354	IRSWAP	Correct	Cover Short	12345678-26354	NOT SENT	CAL-1301	1234-5678	ACK	Updated: 12/21/2012	
12/21/2012	87654321-26354	CDS	New	Buy	87654321-26354	87654321	CAL-1021	8765-354	ACK	Approved by: Sam Johnson	
12/21/2012	98765431-13019	CDS	Cancel	Sell	12345678-26354	12345678	CAL-1301	1234-5678	NACK	Approved Date: 12/21/2012	
12/21/2012	12345678-26354	CDS	Correct	Sell Short	87654321-26354	NOT SENT	CAL-1021	8765-354	NACK	OYS-1021	ACK
12/21/2012	87654321-26354	CDS	New	Cover Short	12345678-26354	87654321	CAL-1301	1234-5678	ACK	OYS-1301	NACK
12/21/2012	98765431-13019	CDS	Cancel	Buy	87654321-26354	12345678	CAL-1021	8765-354	ACK	OYS-1021	NACK
12/21/2012	12345678-26354	CDS	Correct	Sell	12345678-26354	NOT SENT	CAL-1301	1234-5678	NACK	OYS-1301	ACK
12/21/2012	87654321-26354	CDS	New	Sell Short	87654321-26354	87654321	CAL-1021	8765-354	NACK	OYS-1021	ACK

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Transaction ID

MOM Trade ID

Custodian Account

Portfolio

Trade Date

Settle Date

Settle Date

Transaction Type

Geneva ID

Status ID

Strategy

Trader

Quality

Price

Fx Rate

Accrued Interest

Sent to Geneva:

Status:

Record Type

Transaction Type

Investment

Client Investment ID

Settlement Currency

Ticker

Sedol

BBR Ticker

CPS

Sec Fee

Total Commission

Principal

Comments

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
9101	CALYPSO_MOM	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Processed
8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Pending
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Ignored
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

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Dashboard ID	MOM Trade ID	Client ID	Street Trade	Asset Type	Trans. Type	Rcd. Type	Transaction	Portfolio	Investment	Confirmation Status	Error
9101	XZY-6854	9101	9101	BOND	Long	Buy				Matched	
8999	ABC-1234	8999	8999	EQTY	Long	Buy				Matched	
9454	QED-1301	9454	9454	BOND	Short	Buy				Matched	
9001	XZY-6854	9001	9001	EQTY	Long	Sell				No Match	
9101	ABC-1234	9101	9101	BOND	Short	Sell				Matched	
8999	QED-1301	8999	8999	EQTY	Long	Buy				Un-Matched	
9454	XZY-6854	9454	9454	BOND	Long	Buy				Matched	
9001	XZY-6854	9001	9001	EQTY	Long	Buy				Matched	
9101	QED-1301	9101	9101	BOND	Short	Sell				Matched	
9454	ABC-1234	9454	9454	EQTY	Long	Sell				Matched	

Annotation:

Client Trade

Mismatched Attributes
Show All Attributes

Mismatched Fields	Mismatched Value
Price	\$101.00
Quality	10,100
CPTY	LEX

Trade Details

Street Trade

Mismatched Attributes
Show All Attributes

Mismatched Fields	Mismatched Value
Price	\$99.00
Quality	10,000
CPTY	GMS

Trade Details



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Transaction ID

MOM Trade ID

Geneva ID

Status ID

Sent to Geneva:

Status:

Record Type

Transaction Type

Custodian Account

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Strategy

Trader

Investment

Client Investment ID

Settlement Currency

Ticker

Sedol

BBR Ticker

Trade Date

Settle Date

Settle Date

Transaction Type

Quality

Price

Fx Rate

Accrued Interest

CPS

Sec Fee

Total Commission

Principal

Comments

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
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8999	MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Pending
9454	ALPS_OAK_WSO	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Ignored
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Ignored
8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

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Batch Date:

Show All Pending Trades

Status:

ID	Source	Received	Total Trades	Processed	Pending	Ignored	Status	Notes
9101	MOM	12/12/2011 13:01	1301	56	56	0	🔒	
8999	ALPS_OAK_WSO	12/12/2011 13:01	32	32	0	0	🔒	
9454	CALYPSO_MOM	12/12/2011 13:01	999	101	13	0	🔒	
9001	ALPS_OAK_WSO	12/12/2011 13:01	27	27	8	0	🔒	
9101	CALYPSO_MOM	12/12/2011 13:01	1301	56	56	0	🔒	
9101	MOM	12/12/2011 13:01	1301	32	0	0	🔒	
8999	CALYPSO_MOM	12/12/2011 13:01	32	101	13	0	🔒	
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	27	8	0	🔒	
9001	CALYPSO_MOM	12/12/2011 13:01	27	101	56	0	🔒	
9101	CALYPSO_MOM	12/12/2011 13:01	1301	27	0	0	🔒	
8999	MOM	12/12/2011 13:01	32	56	13	0	🔒	
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	32	8	0	🔒	

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OTC Dashboard
Confirmation Dashboard
Loan Admin

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Transaction ID

MOM Trade ID

Custodian Account

Portfolio

Trade Date

Settle Date

Settle Date

Transaction Type

Geneva ID

Status ID

Strategy

Trader

Quality

Price

Fx Rate

Accrued Interest

Sent to Geneva:

Status:

Record Type

Transaction Type

Investment

Client Investment ID

Settlement Currency

Ticker

Sedol

BBR Ticker

CPS

Sec Fee

Total Commission

Principal

Comments

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
8999	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	MSFT	L. Ipsum	No	Pending
9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	C	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	SAP	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Pending
9101	MOM	Cancel	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Ignored
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9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	C	L. Ipsum	No	Pending
9001	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Ignored
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9454	CALYPSO_MOM	Cancel	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	LEX	D. Karem	Yes	Ignored
9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
9101	CALYPSO_MOM	Update	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	MSFT	D. Karem	Yes	Pending
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8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

<< < [1] 2 3 4 5 6 7 > >>

Annotation:



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- Transactions and Trades
- Security Management**
- Reference Data Management
- Reports

Security Management

Investment: Settle currency: CUSIP: Underlying Instrument:

SEDOL: Exchange: ISIN: Underlying CUSIP:

Blmbg Ticker: Market Sector: Index: Underlying SEDOL:

Issue Date: Issue Country: Coupon: Client Identifier:

Maturity Date: Type: Asset Type: Client Asset Type:

Results

Investment	Settle Currency	CUSIP	SEDOL	Exchange	ISIN	Bloomberg Ticker	Market Sector	Index
Dvort Karem	USD	123456789	10987654321	NYSE	7654321	MSNBC	Lorem Ipsum	SMP 500
Lorem Ipsum	DM	123456789	10987654321	NASDAQ	7654321	LOREM	Dvort Karem	DASDAQ 500
Va Tu Est	EURO	123456789	10987654321	NYSE	7654321	MSNBC	Lorem Ipsum	SMP 500
Dvort Karem	CD	123456789	10987654321	NASDAQ	7654321	LOREM	Dvort Karem	DASDAQ 500
Lorem Ipsum	USD	123456789	10987654321	NYSE	7654321	MSNBC	Lorem Ipsum	SMP 500
Va Tu Est	DM	123456789	10987654321	NASDAQ	7654321	LOREM	Dvort Karem	DASDAQ 500

Annotation:

CITI Logo

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Security Management > Details
Trade Details
Tax Lots Details

Transaction ID

MOM Trade ID

Geneva ID

Status ID

Sent to Geneva:

Status:

Record Type

Transaction Type

Custodian Account

Portfolio

Strategy

Trader

Investment

Client Investment ID

Settlement Currency

Ticker

Sedol

BBR Ticker

Search

Trade Date

Settle Date

Settle Date

Transaction Type

Quality

Price

Fx Rate

Accrued Interest

CPS

Sec Fee

Total Commission

Principal

Comments

Clone
Go
Clear
Refresh
Save
Submit

Transaction ID	Source	Record Type	Transaction ID	MOM Trade ID	Portfolio	Strategy	Transaction Date	Settlement Date	Type	Instrument	Trader	Geneva	Trade Status
9101	MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	IBM	D. Karem	Yes	Processed
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9001	ALPS_OAK_WSO	New	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	IBM	L. Ipsum	No	Processed
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8999	CALYPSO_MOM	New	26354-1301	32MOM-1301	26354-1301	Lorem Ipsum	12/12/2011	12/12/2011	Buy	SAP	D. Karem	Yes	Processed
9454	ALPS_OAK_WSO	Update	45362-1111	27MOM-1101	45362-1111	Dvort Karem	12/12/2011	12/12/2011	Sell	LEX	L. Ipsum	No	Pending

<< < [1] 2 3 4 5 6 7 > >>

Annotation:



Client Summary Dashboard Portfolio Management Transactions and Trades Security Management Reference Data Management Reports

Reference Data Management

Frequently Used Search

- Parties
- Admin
 - Broker Contact Deals
 - Broker Type
 - Brokers
 - Contact Details
 - Contact Type
 - Custodians
 - External Parties
 - Flex Cube GL Account Contract Map
 - Flex Cube GL Account Contract Type
 - Flex Cube GL Account Map
 - GL Account Type

New Client Investment Map Clone Template Import Refresh

Investment	Client Invst. ID	Client Asset Type	Client Invst. Type	Client Sctr.	Client Ind.	Client Rgn.	SMID	FASB 157	Analyst	Inst. Grade	Prfm Ind	Status
KGF.LN.EQSWAP.MSCO.GBP	KINGFISSWAP	SWAP	Equity Swap	Technology	Cnmr. Elect.	EMEA	138664	2	L. Ipsum	AA	Yes	Active
KGF.NY.EQCASH.GSCO.GBP	KINGFISCASH	Cash Equity	Equity	Retail	Cnmr. Stpls.	NA	138665	3	K. Dvort	AAA	No	Active

What Goes Here?

Investment:

Client Investment ID: SMID:

Client Asset Type: FASB 157:

Client Investment Type: Analyst:

Client Sector: Investment Grade:

Client Industry: Performing Ind:

Client Region: Status:

Created By: pf64374 Created: 4/21/2009 – 13:01:11 Last Updated By: pf64374 Last Updated: 4/21/2011 – 13:01:11

Annotation:



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Client Summary Dashboard
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Inbox

Generate

Reports | Inbox

In Box

Periods

Category

Sub Category

Sub Category

Name

<< < [1] 2 3 4 5 6 7 > >>

▶	Date & Time	Category	Report Name	Periodicity	Official	Status	Check All
	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	Generated	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	Yes	Generated	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	Tes	Failed	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	Failed	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	No	Failed	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	Failed	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	In Process	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	Yes	In Process	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	In Process	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	In Process	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	Yes	In Process	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	In Process	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Monthly	Yes	In Process	<input type="checkbox"/> <input type="checkbox"/>
X	12/12/2011 13:01	Dvort Karem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Yearly	No	In Process	<input type="checkbox"/> <input type="checkbox"/>
	12/12/2011 13:01	Lorem Ipsum	Lorem ipsum dvort karem to vat est gedode hedrum tu va est...	Daily	No	In Process	<input type="checkbox"/> <input type="checkbox"/>

Annotation:



Client Summary Dashboard Portfolio Management Transactions and Trades Security Management Reference Data Management Reports

Inbox Generate

Reports | Generate

Extracts
 E-Reports
 Generate
 Retrieve

From: 12/12/2012 31 To: 12/12/2012 31

Periods: Year to Date Official
 Category: Lorem Ipsum
 Sub Category: Enter a Category
 Sub Category: Lorem Ipsum
 Name: Lorem Ipsum

Portfolio:
 Accounting Type:
 Period Start: 12/12/2012 31
 Period End: 12/12/2012 31
 Prior Period End: 12/12/2012 31
 Prior Knowledge Date: 31
 Knowledge Date: 31
 Asset Type:

Go

Available Reports

<< < [1] 2 3 4 5 6 7 > >>

Category	Sub-category	Sub-category	Report Name	<input type="checkbox"/> Check All	Run	<input type="checkbox"/> Check All	Send to third party	Go
Dvort Karem	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Edoka Sedode	Dvort Karem Lorem	Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Lorem Ipsum	Sinimi Vel Tolem		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go
Dvort Karem	Vat Est Gedode		Lorem ipsum dvort karem to vat est gedode hedrum tu va est karem lorem	<input type="checkbox"/>	Run	<input type="checkbox"/>	Send to third party	Go

Annotation:



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- Reference Data Management
- Reports

Annotation:

Empty annotation box for notes.



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Client Summary Dashboard

Portfolio Management

Transactions and Trades

Security Management

Reference Data Management

Reports

Batch Date: 12/12/2012 31 Show All Pending Trades Status: All

Deal Blotter

ID	Symbol	Quantity	Price	Value	Order Type	Order Status	Order Date	Order Time	Order Ref
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9001	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001

Loan Admin & Security Blotter

ID	Symbol	Quantity	Price	Value	Order Type	Order Status	Order Date	Order Time	Order Ref
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9001	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001

Reference Data

ID	Symbol	Quantity	Price	Value	Order Type	Order Status	Order Date	Order Time	Order Ref
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9001	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001

Batch Date: 12/12/2012 31 Show All Pending Trades Status: All

ID	Symbol	Quantity	Price	Value	Order Type	Order Status	Order Date	Order Time	Order Ref
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9001	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9101	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001

Symbol	Processed	Pending	Ignored	Status	Notes
ALPS_OAK_WSO	56	56	0		
ALPS_OAK_WSO	32	0	0		
ALPS_OAK_WSO	101	13	0		
ALPS_OAK_WSO	27	8	0		
ALPS_OAK_WSO	56	56	0		
ALPS_OAK_WSO	32	0	0		
ALPS_OAK_WSO	101	13	0		
ALPS_OAK_WSO	27	8	0		
ALPS_OAK_WSO	101	56	0		
ALPS_OAK_WSO	27	0	0		
ALPS_OAK_WSO	56	13	0		
ALPS_OAK_WSO	32	8	0		

Reports

ID	Symbol	Quantity	Price	Value	Order Type	Order Status	Order Date	Order Time	Order Ref
8999	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001
9454	ALPS_OAK_WSO	10	12.00	120.00	Market	Executed	12/12/2011	13:01	1001

Annotation:

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Summary Dashboard Reference Data Management Transaction and Trades Security Management Reference Data Management Reports

Summary Dashboard

Transaction and Trades
Deal Blotter
Trade Search
Trade Dashboard
OTC Dashboard
Confirmation Dashboard

Security Management
Lorem Ipsum
Dvort Karem

Reference Data Management
Lorem Ipsum
Dvort Karem

Reports

Portfolio Management
Lorem Ipsum
Dvort Karem
Lorem Ipsum
Dvort Karem

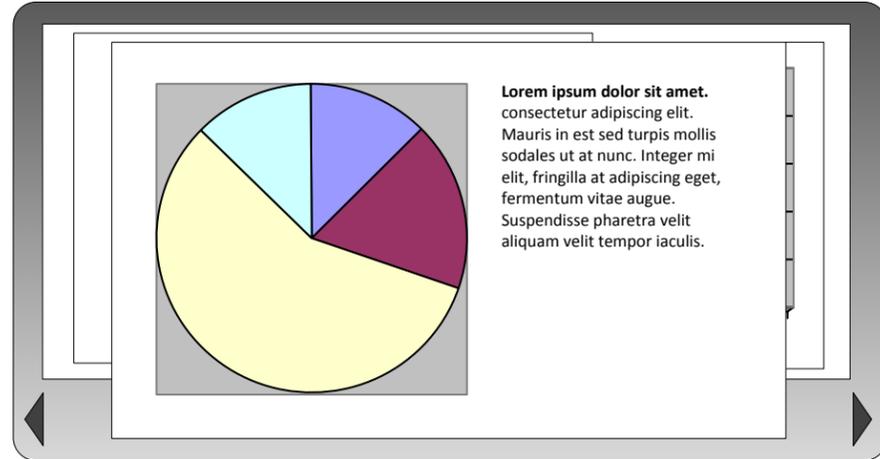
Search [] Show Import New Trade

Processed	Pending	Ignored	Status	Notes
56	0	0		
0	0	0		
13	0	0		
8	0	0		
56	0	0		
32	0	0		
101	13	0		
27	8	0		
101	56	0		
27	0	0		
56	13	0		
32	8	0		

Batch Date: 12/12/2012 31 Show All Pending Trades Status: All Search Show Import New Trade

ID	Source	Received	Total Trades	Processed	Pending	Ignored	Status	Notes
9101	MOM	12/12/2011 13:01	1301	56	56	0		
8999	ALPS_OAK_WSO	12/12/2011 13:01	32	32	0	0		
9454	CALYPSO_MOM	12/12/2011 13:01	999	101	13	0		
9001	ALPS_OAK_WSO	12/12/2011 13:01	27	27	8	0		
9101	CALYPSO_MOM	12/12/2011 13:01	1301	56	56	0		
9101	MOM	12/12/2011 13:01	1301	32	0	0		
8999	CALYPSO_MOM	12/12/2011 13:01	32	101	13	0		
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	27	8	0		
9001	CALYPSO_MOM	12/12/2011 13:01	27	101	56	0		
9101	CALYPSO_MOM	12/12/2011 13:01	1301	27	0	0		
8999	MOM	12/12/2011 13:01	32	56	13	0		
9454	ALPS_OAK_WSO	12/12/2011 13:01	999	32	8	0		

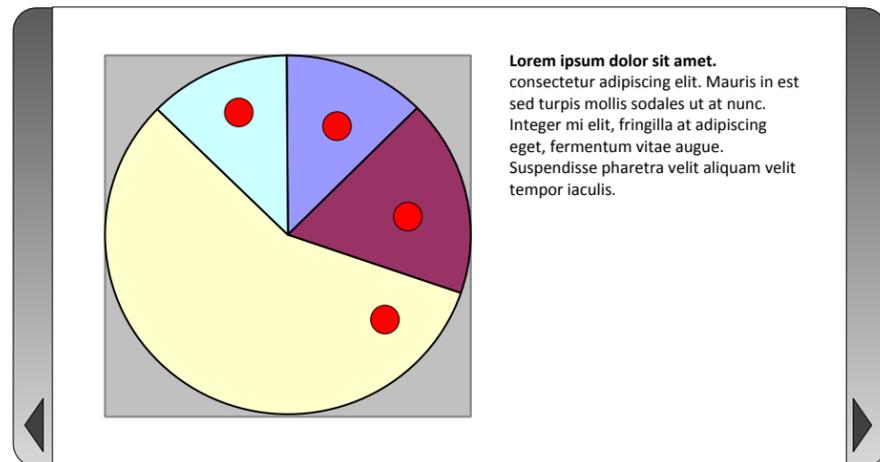
Annotation:



User can scroll through charts and graph applicable to their portfolio

Clicking on a chat or graph maximizes it in the carousel window

Hot spots allow the user to click through to a detailed view



Clicking on the carousel forward and back buttons returns the user back to the carousel view

Currency Exp.	AUD	CHF	EUR	JPN	SEK	SWF	USD	Total
Ordinary Share				9999				9999
ETF	9999	101					1234	9999
Index Tracker					101			9999
Equity Fnd.		1234						9999
REIT	9999		1234	101				9999
Savings Share						101		9999
Savings Share		101			9999			9999
Total	9999	122,345,678						

Below the table is a wireframe of a carousel slide showing a pie chart with a red hot spot on the yellow segment. To the right of the pie chart is a text block containing placeholder text: "Lorem ipsum dolor sit amet. consectetur adipiscing elit. Mauris in est sed turpis mollis sodales ut at nunc. Integer mi elit, fringilla at adipiscing eget, fermentum vitae augue. Suspendisse pharetra velit aliquam velit tempor iaculis." The slide is framed with a grey border and has left and right navigation arrows.

Daily View **NAV View**

16:00

- Confirm broker statements used in cash reconciliation are dated xx/xx/2011 **MORE**
- Confirm all notional quantities... **MORE**
- send daily P&L files to client **MORE**
- Sort P&L Report and identify top 10 bottom 10 drivers for reasonability **MORE**

P&L Files Approved

14:00

- Confirm all Geneva cash balances have been reconciled and agree cash per Geneva ... **MORE**
- Research any cash breaks for accuracy **MORE**

13:00

- Run Investments not priced Report to make sure the portfolio has been priced ... **MORE**
- Confirm broker data used in position reconciliation are dated xx/xx/2011 **MORE**
- Update Break Summary Report **MORE**

09/19/2011 11:01EST

11:00

- Review assets held on portfolio and confirm all interest bearing/expense secs have ... **ACT NOW**
- Check the exceed tolerance file for accuracy **PAST DUE**

EVENT RECORD **PAST DUE ITEMS**

Sort P&L Report and identify top 10 bottom 10 drivers for reasonability

Daily View

16:00

- Confirm broker statements used in cash reconciliation are dated xx/xx/2011 **MORE**

P&L Files Approved

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09/19/2011 11:01EST

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EVENT RECORD **PAST DUE ITEMS**

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09/19/2011 11:01EST

11:00

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EVENT RECORD **PAST DUE ITEMS**